# UNITED STATES PATENT AND TRADEMARK OFFICE

(Case No. 02-1059-A)

IFW

In the Application of:	)
Gang Xue et al.	) Examiner: To be assigned
Serial No.:10/680,878	) Group Art Unit: 2818
Filed: October 7, 2003	) Confirmation No.: 6424
For: Single Bit Non-Volatile Memory Cell and	d )
Methods for Programming and Erasing	)
Thereof	)

### TRANSMITTAL LETTER

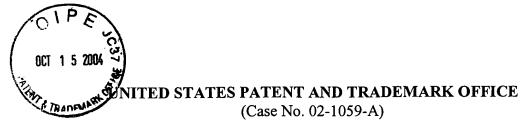
Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

In regard to the above identified application:

- 1. We are transmitting herewith the attached:
  - 1. Supplemental Information Disclosure Statement
  - 2. Form PTO-1449
  - 3. Cited references (5)
  - Return Postcard
- 2. With respect to additional fees:
  - A. X
    B. Attached is a check in the amount of \$
  - C. Charge the total additional fee to our Deposit Account No. 13-2490.
- 3. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
- 4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, Virginia, 22313-1450 on this 13 day of October 2004.

Bv:

Paul W. Churilla Reg. No. 47,495



In the Application of:	)	
	)	Group Art Unit: 2818
Gang Xue et al	)	
	)	Examiner: Not Yet Assigned
Serial No. 10/680,878	)	
	)	Confirmation No.: 6424
Filed: October 7, 2003	)	
	)	
For: Single Bit Nonvolatile Memory Cell	)	
and Methods for Programming and Erasing	)	
Thereof	)	

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to the duty of disclosure provided by 35 C.F.R. § 1.56 and §§ 1.97-98, the applicants wish to make the following references and information of record in the above-identified application. The references are also listed in the PTO-1449 form enclosed herewith. It is requested that the references and information be given careful consideration and that they be cited of record in the prosecution history of the present application so that they will appear on the face of the patent issuing from the present application.

In the judgment of the undersigned, portions of the references may be material to the examination of the pending claims. No representation is intended as to the relative importance of any portion of the references. This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. §§ 102 or 103.

### **CITED REFERENCES**

## Foreign Patents:

- 1. Published PCT Application WO 01/47019 A1 for Infineon Technologies AG, dated 28 June 2001.
- 2. Published PCT Application WO 97/48099 for Siemens Aktiengesellschaft, dated 18 December 1997
- 3. Published European Application No. EP 1074995 A1 for STMicroelectronics S.r.l., dated 7 February 2001.

### **Other Documents:**

- 1. "Austrian Search Report of Application No. 200305836-9 for Infineon Technologies AG, mailed 24 May 2004.
- 2. Austrian Examination Report of Application No. 200305836-9, mailed 24 May 2004.

Respectfully submitted,

McDonnell Boehnen Hulbert & Berghoff LLP

Date: 0cf 13 2004 By:

Paul W. Churilla Reg. No. 47,495

FORM PTO-1449 (Rev. 2-32)	U.S. Department of Comme Patent and Trademark Of		Serial No.
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT	02-1059-A	10/6880,878
OIPEZO	(Use several sheets if necessary)		
OCT 1 5 2004		Applicant: Gang Xue et al.	
PARE TRANSMITTE		Filing Date: 10/07/03	<b>Group:</b> 2818
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**U.S. PATENT DOCUMENTS** 

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate

### **FOREIGN PATENT DOCUMENTS**

		Do	cume	nt Ni	ımhai	•		Date	Date Country		Subclass	Translation	
		50	Cume	116 140	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			Dato	Joanny	Class		Yes	No
	wo	01	4	7	0	1	9	28 06 2001	PCT	HO1L 27	115		
	wo	97	4	8	0	9	9	18 12 1997	PCT	G11C 11	56		
	EP	1	07	4	9	9	5	7 02 2001	Europe	G11C 11	56		

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc).

	1. Austrian Search Report of Application No. 200305836-9 for Infineon Technologies AG, mailed 24 May 2004.							
	2. Austrian Examination Report of Application No. 200305836-9, mailed 24 May 2004.							
EXAMINER	DATE CONSIDERED							

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.